

**GameDevelopers**  
Conference

**MARCH 20-24**  
SAN JOSE, CALIFORNIA



# Practical Parallax Occlusion Mapping For Highly Detailed Surface Rendering

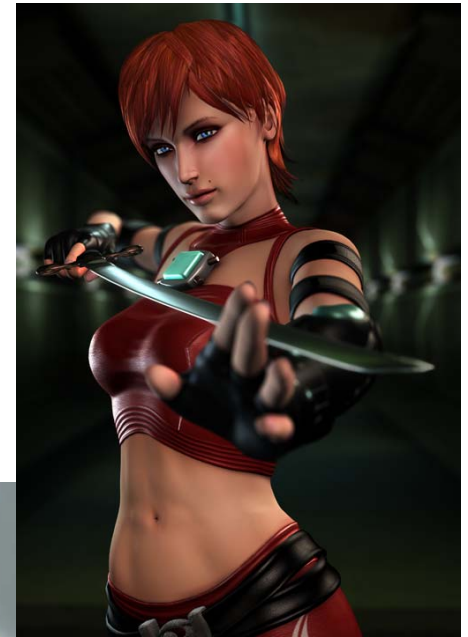
**Natalya Tatarchuk**

**3D Application Research Group  
ATI Research, Inc.**

# Let me introduce... myself

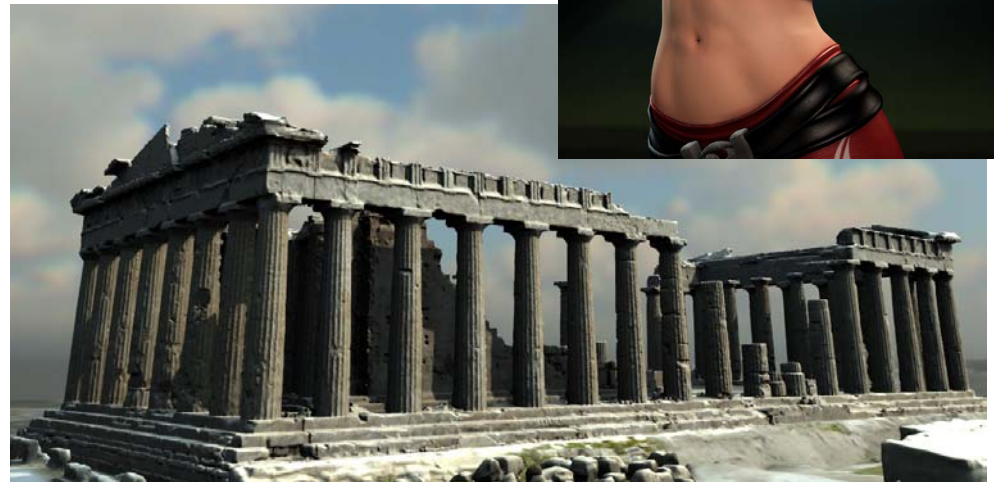
## ⊕ Natalya Tatarchuk

- ⊕ Research Engineer
- ⊕ Lead Engineer on ToyShop
- ⊕ 3D Application Research Group
- ⊕ ATI Research, Inc.



## ⊕ What we do

- ⊕ Demos
- ⊕ Tools
- ⊕ Research



# The Plan

- ④ What are we trying to solve?
- ④ Quick review of existing approaches for surface detail rendering
- ④ Parallax occlusion mapping details
- ④ Discuss integration into games
- ④ Conclusions

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# When a Brick Wall Isn't Just a Wall of Bricks...

- ③ Concept versus realism
  - ③ Stylized object work well in some scenarios
  - ③ In realistic games, we want the objects to be as detailed as possible
- ③ Painting bricks on a wall isn't necessarily enough
  - ③ Do they look / feel / smell like bricks?
  - ③ What does it take to make the player really feel like they've hit a brick wall?

# What Makes a Game Truly Immersive?

- ⊕ Rich, detailed worlds help the illusion of realism
- ⊕ Players feel more immersed into complex worlds
  - ⊕ Lots to explore
  - ⊕ Naturally, game play is still key
- ⊕ If we want the players to think they're near a brick wall, it should look like one:
  - ⊕ Grooves, bumps, scratches
  - ⊕ Deep shadows
  - ⊕ Turn right, turn left – still looks 3D!

# The Problem We're Trying to Solve

- ⊕ An age-old 3D rendering balancing act
  - ⊕ How do we render complex surface topology without paying the price on performance?
- ⊕ Wish to render very detailed surfaces
- ⊕ Don't want to pay the price of millions of triangles
  - ⊕ Vertex transform cost
  - ⊕ Memory footprint
- ⊕ Would like to render those detailed surfaces accurately
  - ⊕ Preserve depth at all angles
  - ⊕ Dynamic lighting
  - ⊕ Self occlusion resulting in correct shadowing



# Solution: Parallax Occlusion Mapping

- ⊕ Per-pixel ray tracing of a height field in tangent space
- ⊕ Correctly handles complicated viewing phenomena and surface details
  - ⊕ Displays motion parallax
  - ⊕ Renders complex geometric surfaces such as displaced text / sharp objects
- ⊕ Calculates occlusion and filters visibility samples for soft self-shadowing
- ⊕ Uses flexible lighting model
- ⊕ Adaptive LOD system to maximize quality and performance



# Parallax Occlusion Mapping versus Normal Mapping

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Scene rendered with Parallax Occlusion Mapping

Scene rendered with normal mapping

# Surface Details in the ToyShop Demo

- ⦿ Parallax occlusion mapping was used to render extreme high details for various surfaces in the demo
  - ⦿ Brick buildings
  - ⦿ Wood-block letters for the toy shop sign
  - ⦿ Cobblestone sidewalk



# Surface Details in the ToyShop Demo

- ④ We were able to incorporate multiple lighting models
  - ④ Some just used diffuse lighting
  - ④ Others simulated wet materials
  - ④ Integrated view-dependent reflections
  - ④ Shadow mapping was easily integrated into the materials with parallax occlusion mapped surfaces
- ④ All objects used the level-of-details system



# Demo: ToyShop



# The Plan

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# Approximating Surface Details

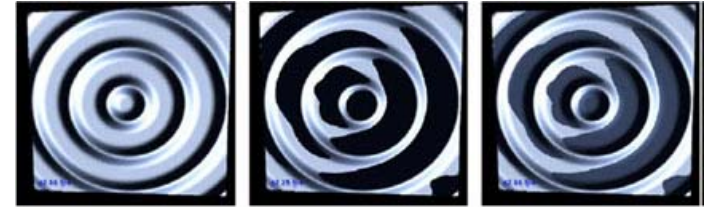
- ④ First there was bump mapping... [Blinn78]
  - ④ Rendering detailed and uneven surfaces where normals are perturbed in some pre-determined manner
  - ④ Popularized as *normal mapping* – as a *per-pixel* technique
  - ④ No self-shadowing of the surface
  - ④ Coarse silhouettes expose the actual geometry being drawn
- ④ Doesn't take into account geometric surface depth
  - ④ Does not exhibit **parallax**



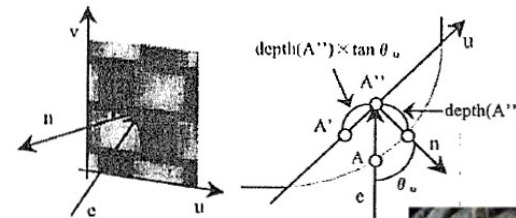
*apparent displacement of the object due to viewpoint change*

# Selected Related Work

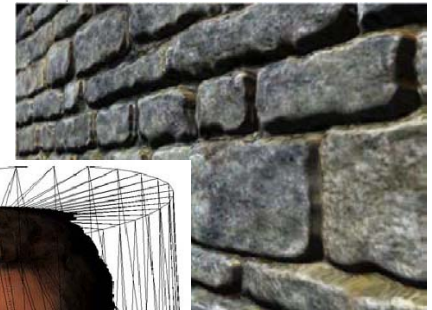
- ⊕ Horizon mapping [Max88]
- ⊕ Interactive horizon mapping [Sloan00]



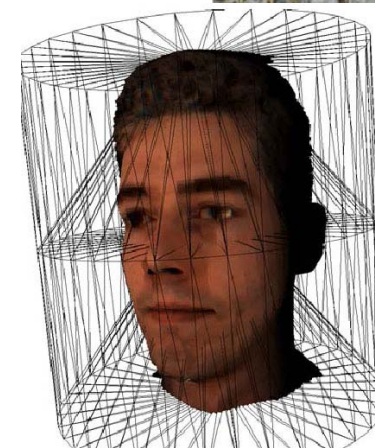
- ⊕ Parallax mapping [Kaneko01]



- ⊕ Parallax mapping with offset limiting [Welsh03]



- ⊕ Hardware Accelerated Per-Pixel Displacement Mapping [Hirche04]



# The Plan

- ④ What are we trying to solve?
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- ④ **Parallax occlusion mapping details**
- ④ Discuss integration into games
- ④ Conclusions



# Parallax Occlusion Mapping

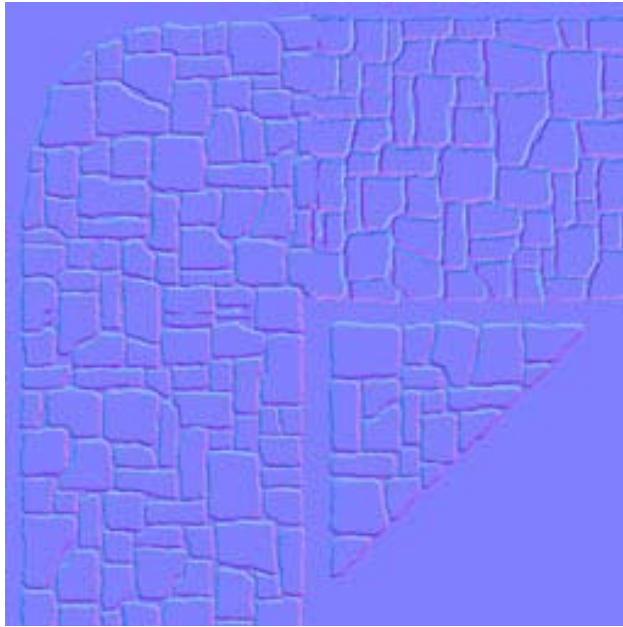
- ⊕ Introduced in [Browley04] “Self-Shadowing, Perspective-Correct Bump Mapping Using Reverse Height Map Tracing”
- ⊕ Efficiently utilizes programmable GPU pipeline for interactive rendering rates
- ⊕ Current algorithm has several significant improvements over the earlier technique



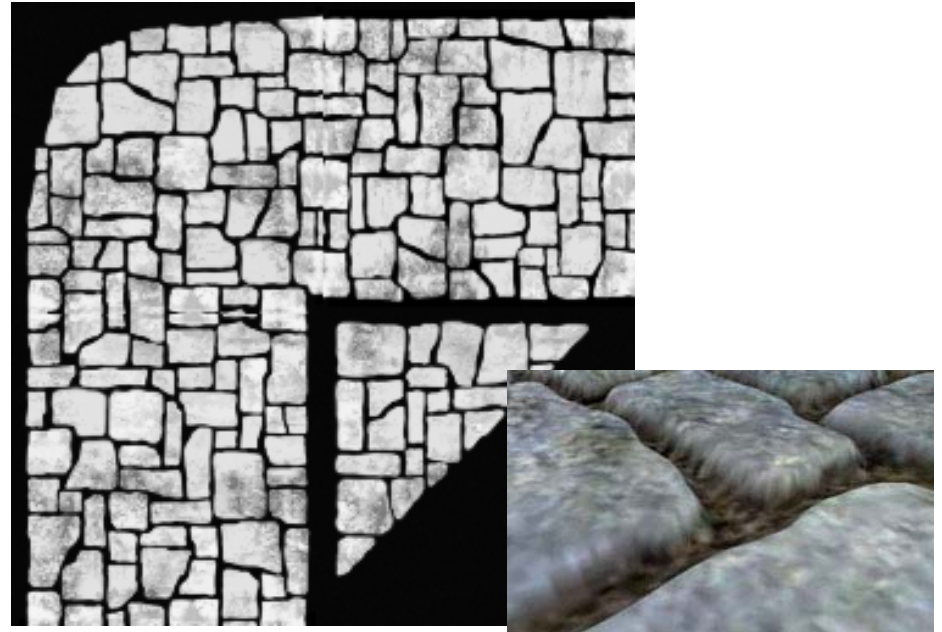
# Parallax Occlusion Mapping: New Contributions

- ⊕ Increased precision of height field – ray intersections
- ⊕ Dynamic real-time lighting of surfaces with soft shadows due to self-occlusion under varying light conditions
- ⊕ Directable level-of-detail control system with smooth transitions between levels
- ⊕ Motion parallax simulation with perspective-correct depth

# Encoding Displacement Information



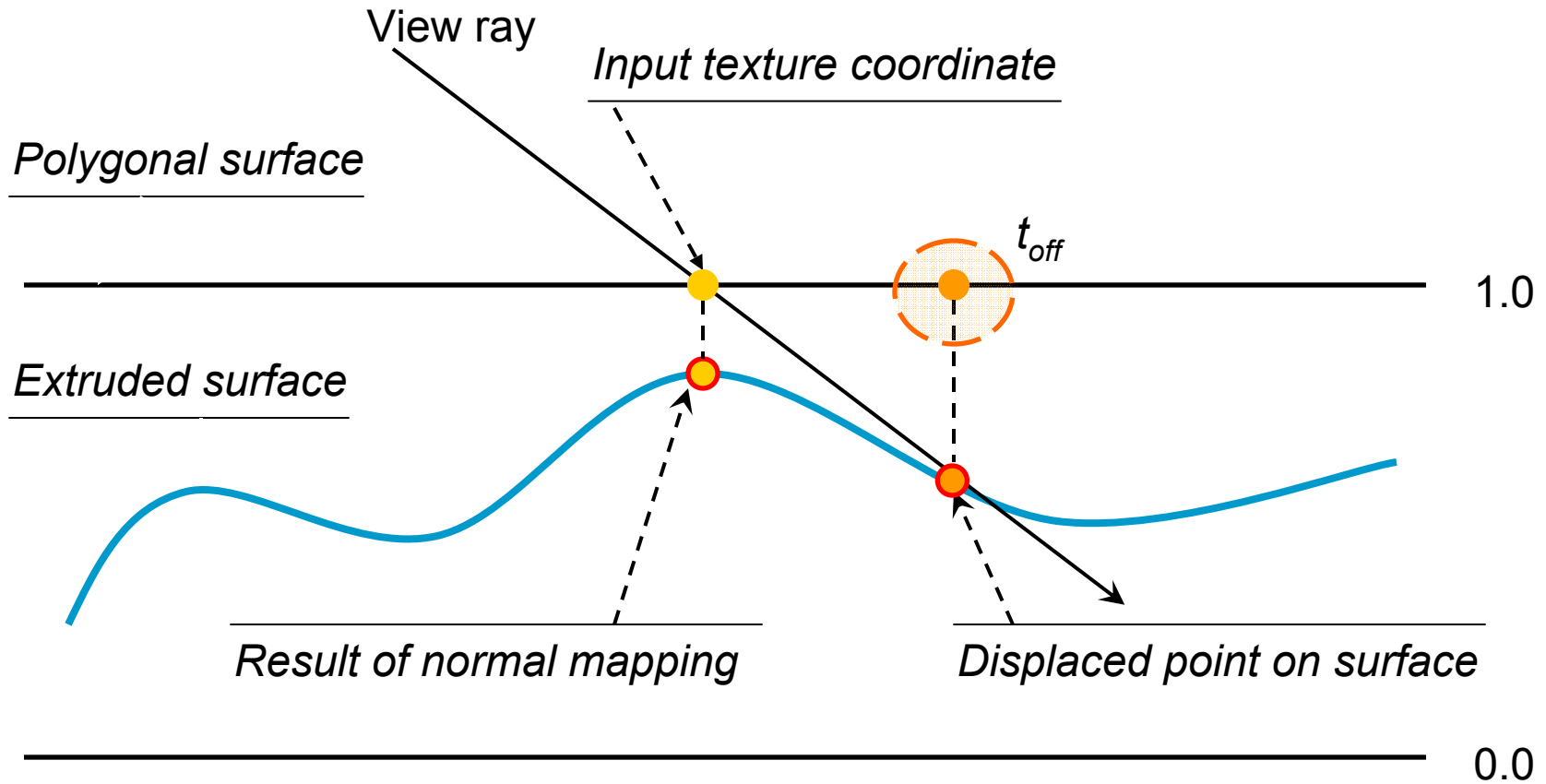
Tangent-space normal map



Height map (displacement values)

All computations are done in tangent space, and thus can be applied to arbitrary surfaces

# Parallax Displacement



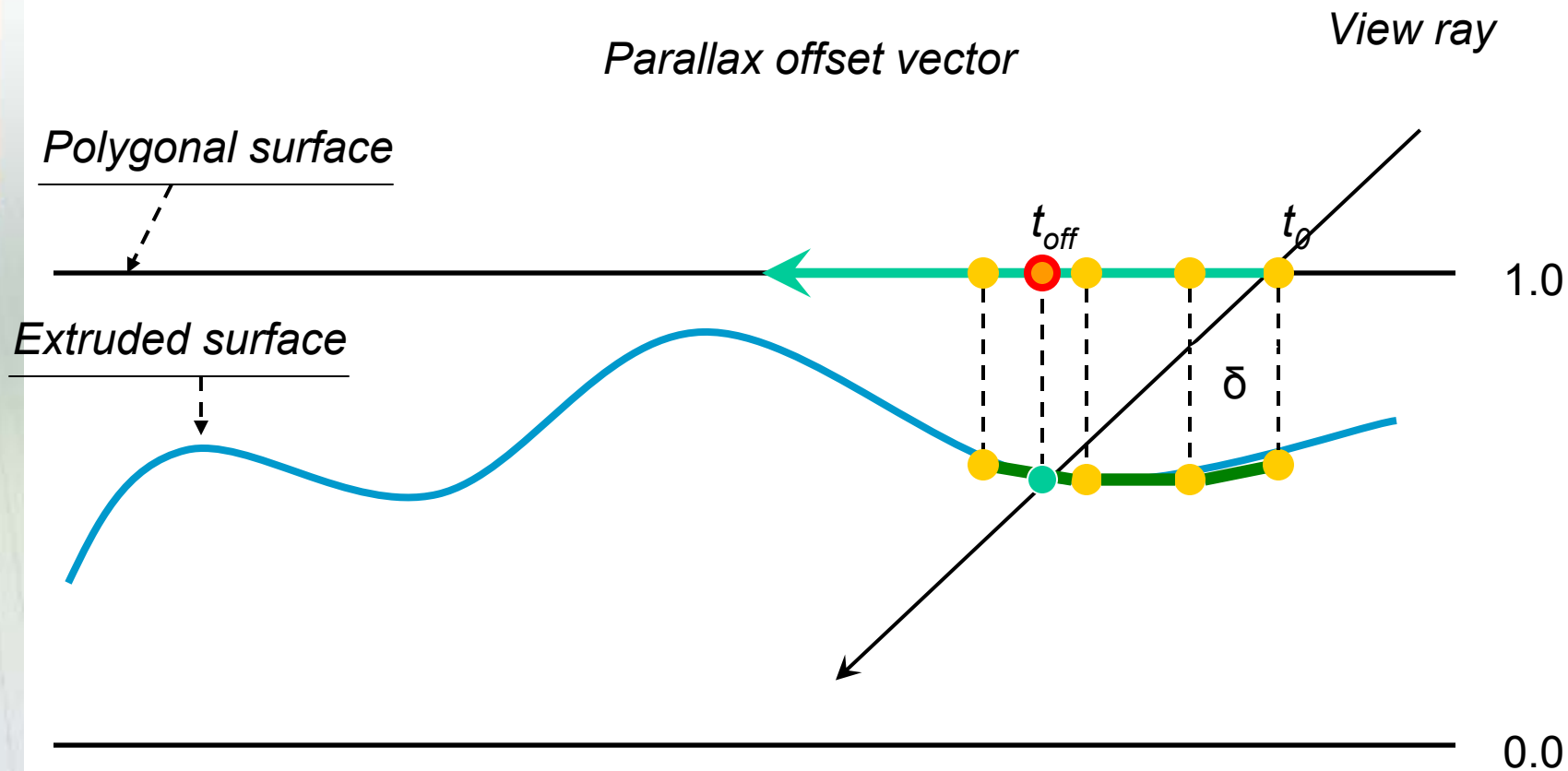
# Implementation: Per-Vertex

- ④ Compute the viewing direction, the light direction in tangent space
- ④ Can compute the parallax offset vector (as an optimization)
  - ④ Interpolated by the rasterizer

# Implementation: Per-Pixel

- ⊕ Ray-cast the view ray along the parallax offset vector
- ⊕ Ray – height field profile intersection as a texture offset
  - ⊕ Yields the correct displaced point visible from the given view angle
- ⊕ Light ray – height profile intersection for occlusion computation to determine the visibility coefficient
- ⊕ Shading
  - ⊕ Using any attributes
  - ⊕ Any lighting model

# Height Field Profile Tracing



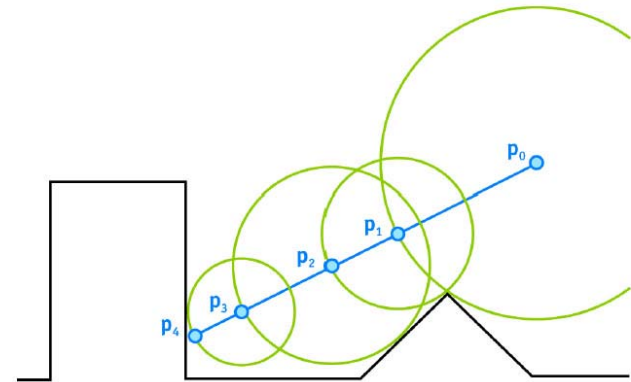
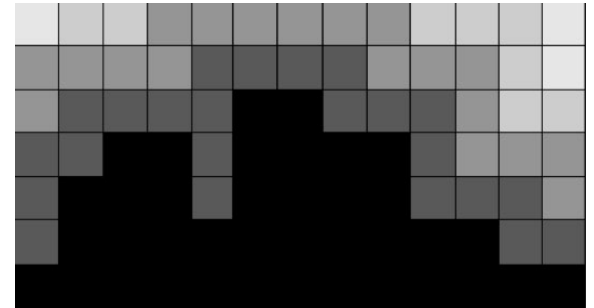
# Binary Search for Surface-Ray Intersection

- ④ Binary search refers to repeatedly halving the search distance to determine the displaced point
  - ④ The height field is not sorted a priori
  - ④ Requires dependent texture fetches for computation
    - ④ Incurs latency cost for each successive depth level
    - ④ Uses 5 or more levels of dependent texture fetches



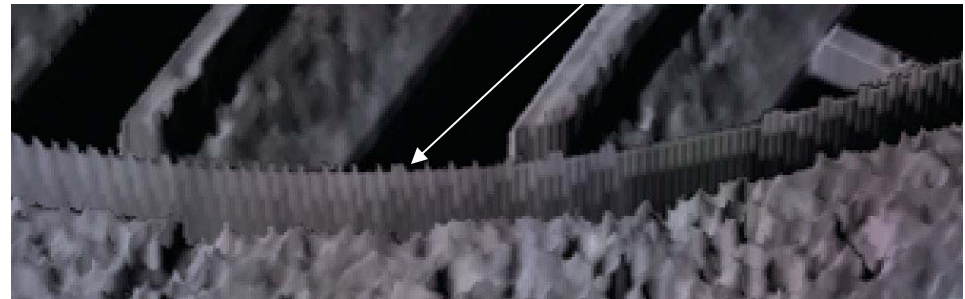
# Per-Pixel Displacement Mapping with Distance Functions [Donnelly05]

- ⊕ Also a real-time technique for rendering per-pixel displacement mapped surfaces on the GPU
  - ⊕ Stores a 'slab' of distances to the height field in a volumetric texture
- ⊕ To arrive at the displaced point, walk the volume texture in the direction of the ray
  - ⊕ Instead of performing a ray-height field intersection
  - ⊕ Uses dependent texture fetches, amount varies



# Per-Pixel Displacement Mapping with Distance Functions [Donnelly05]

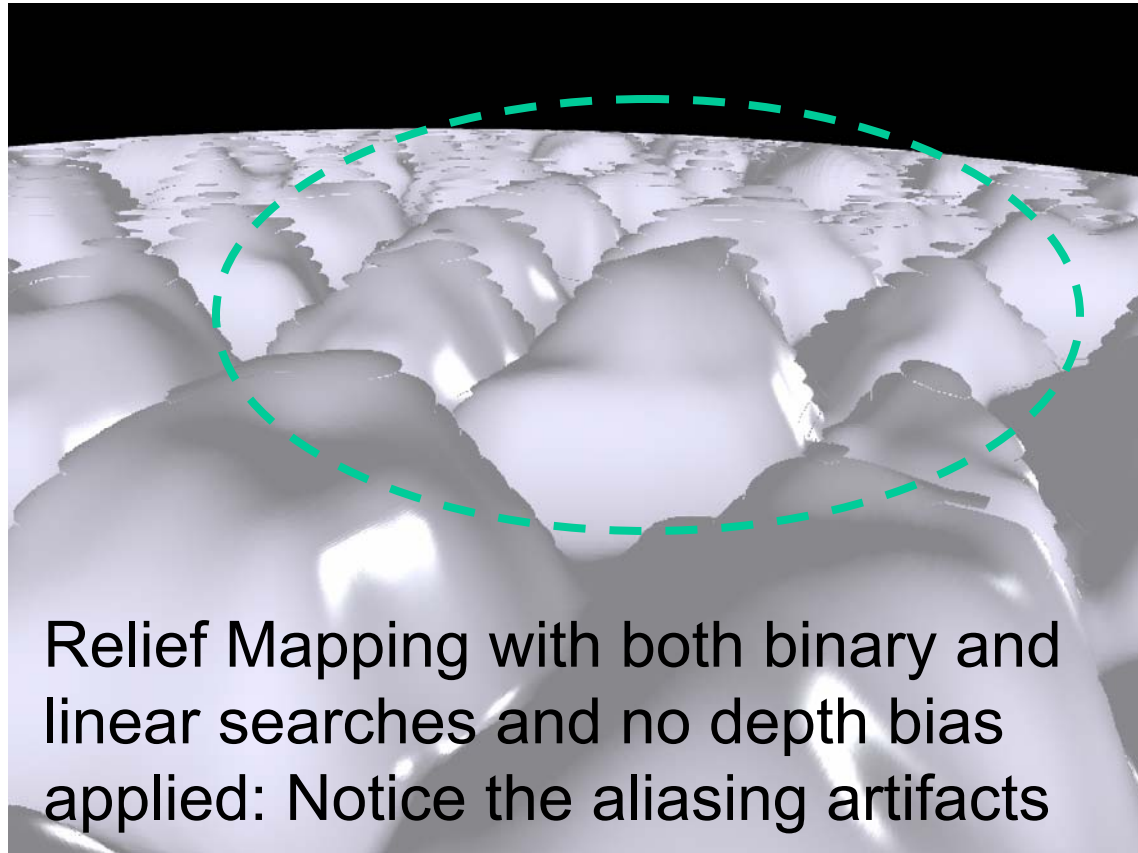
- ⊕ Visible aliasing
  - ⊕ Not just at grazing angles
- ⊕ Only supports precomputed height fields
  - ⊕ Requires preprocessing to compute volumetric distance map
  - ⊕ Volumetric texture size is prohibitive
- ⊕ The idea of using a distance map to arrive at the extruded surface is very useful



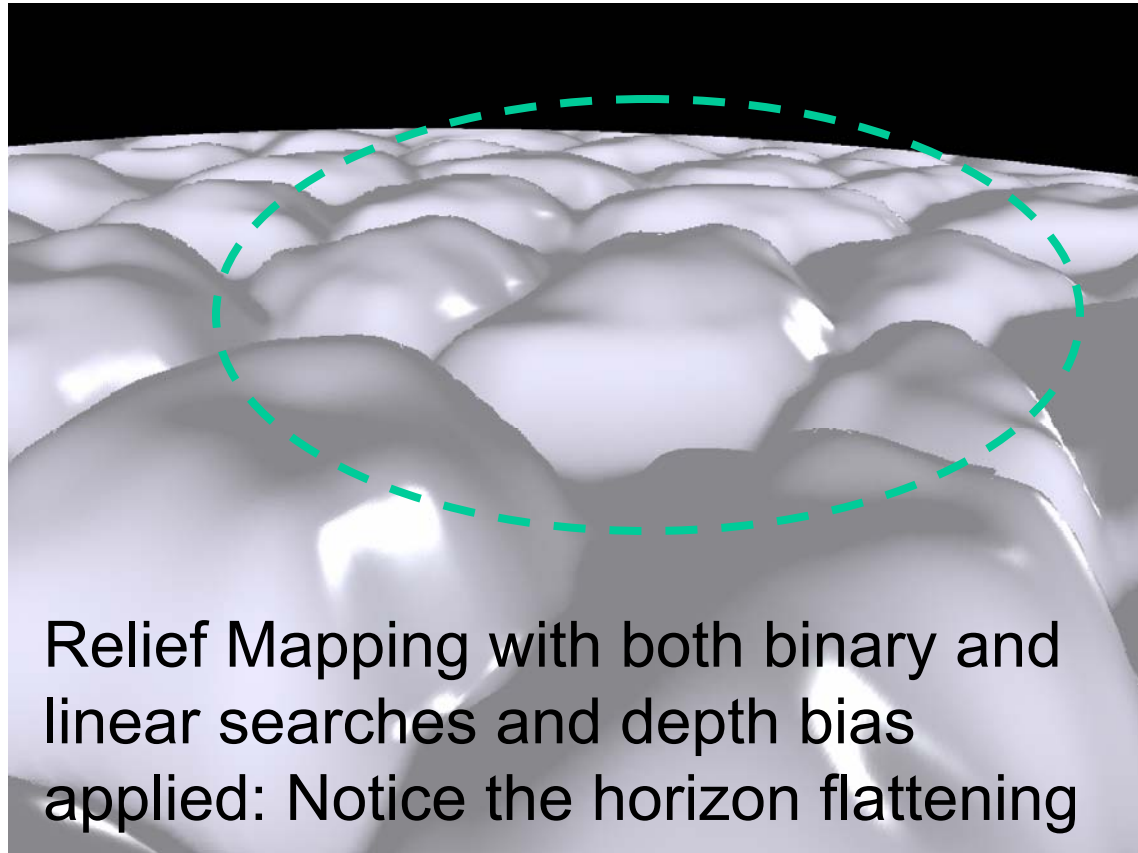
# Linear Search for Surface-Ray Intersection

- ⊕ We use just the linear search which requires only regular texture fetches
  - ⊕ Fast performance
  - ⊕ Using dynamic flow control, can break out of execution once the intersection is found
- ⊕ Simply using linear search is not enough
  - ⊕ Linear search alone does not yield good rendering results
    - ⊕ Requires high precision calculations for surface-ray intersections
    - ⊕ Otherwise produces visible aliasing artifacts

# Comparison of Intersection Search Types and Depth Bias Application

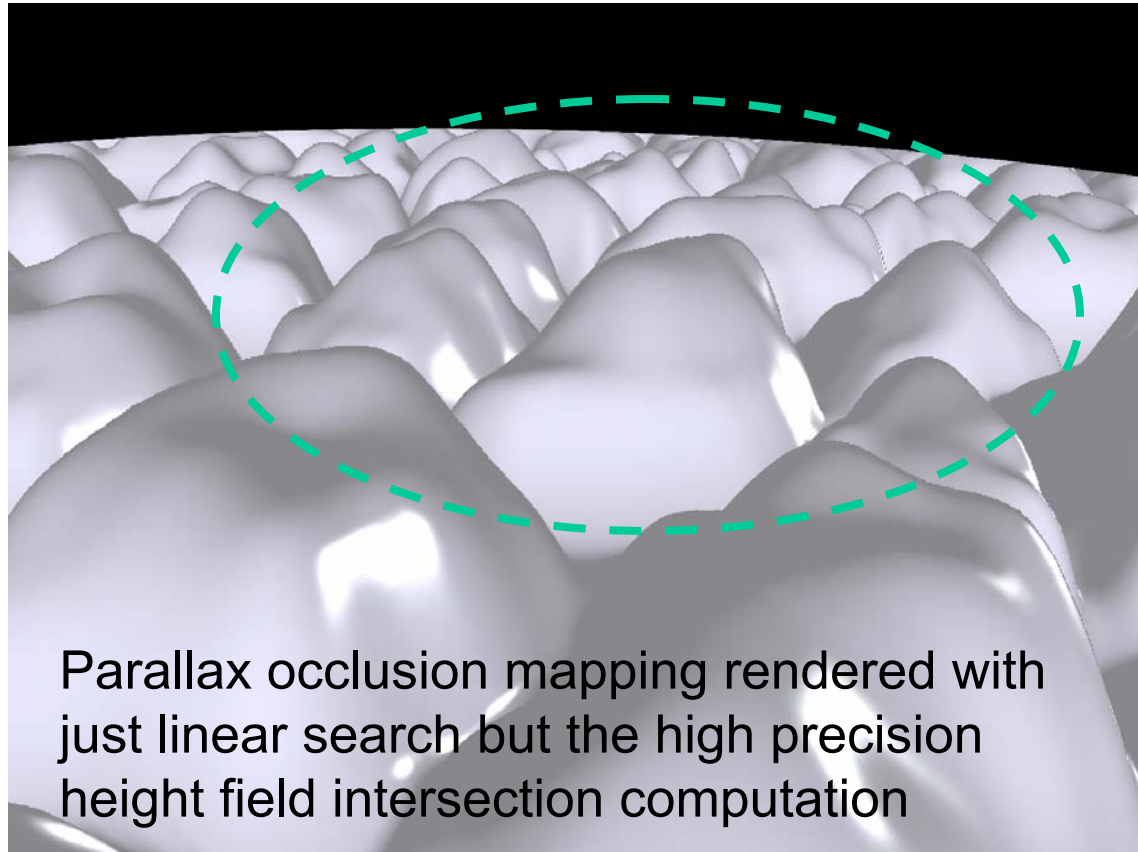


# Comparison of Intersection Search Types and Depth Bias Application

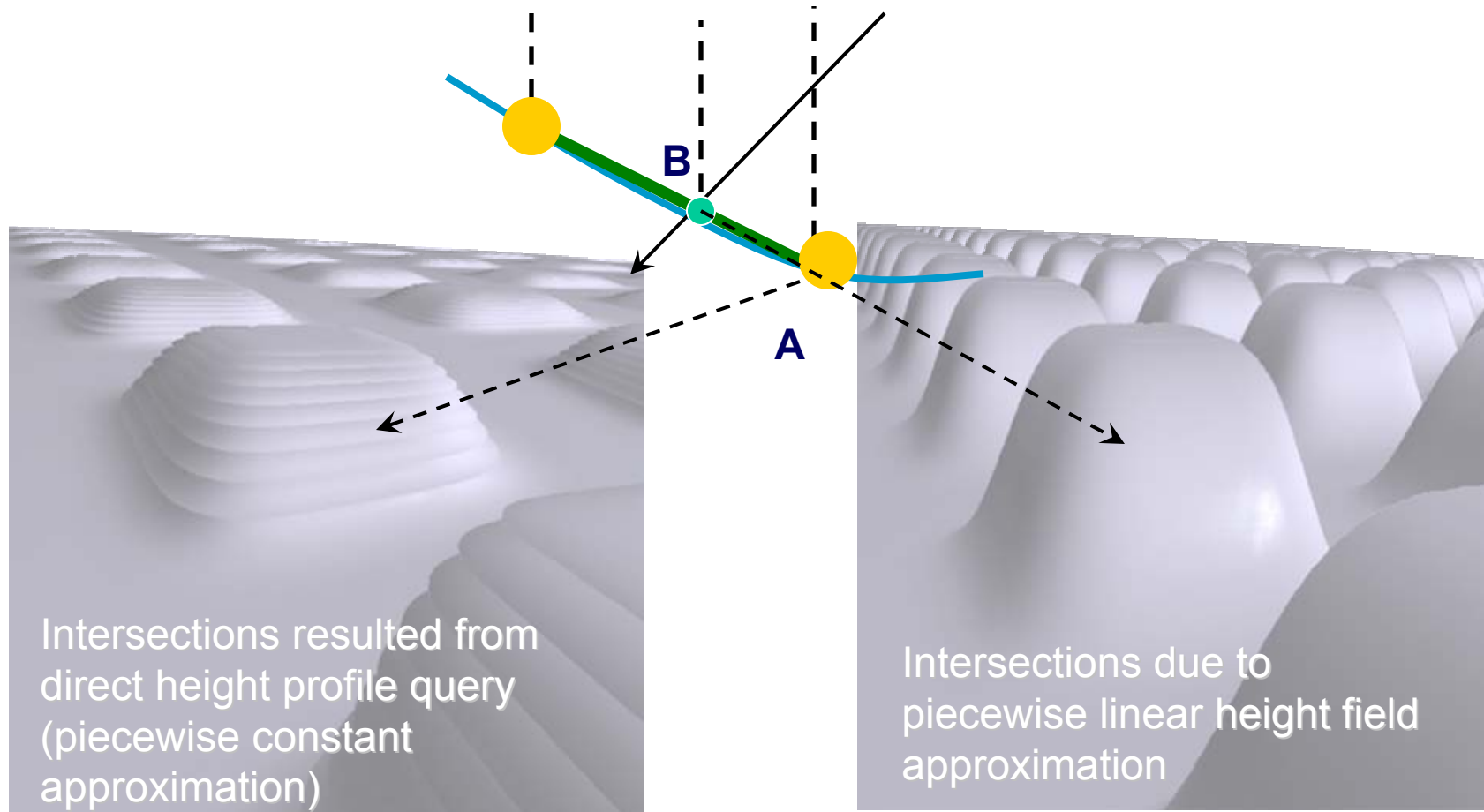


Relief Mapping with both binary and linear searches and depth bias applied: Notice the horizon flattening

# Comparison of Intersection Search Types and Depth Bias Application



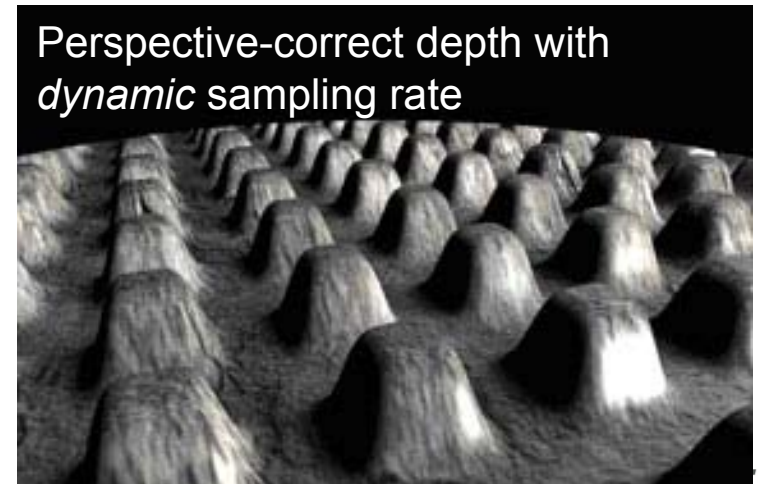
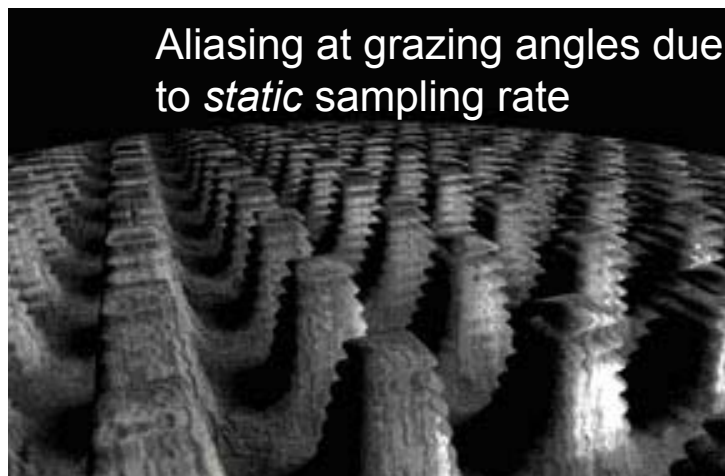
# Height Field Profile – Ray Intersection



# Higher Quality With Dynamic Sampling Rate

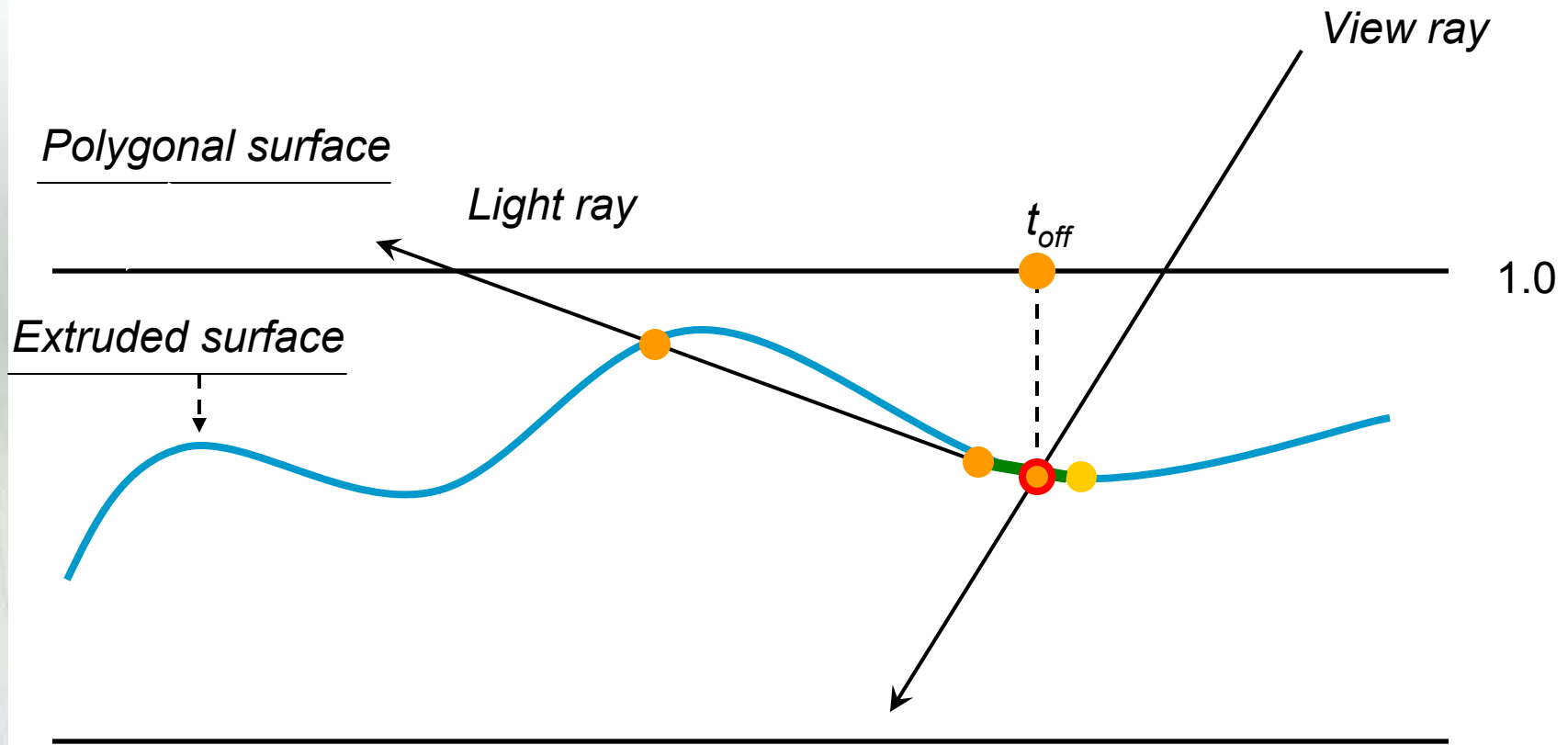
- ⊕ Sampling-based algorithms are prone to aliasing
- ⊕ Solution: *Dynamically* adjust the sampling rate for ray tracing as a linear function of angle between the geometric normal and the view direction ray

$$n = n_{\min} + \hat{N} \cdot \hat{V}_{ts} (n_{\max} - n_{\min})$$





# Self-Occlusion Shadows



# Hard Shadows Computation

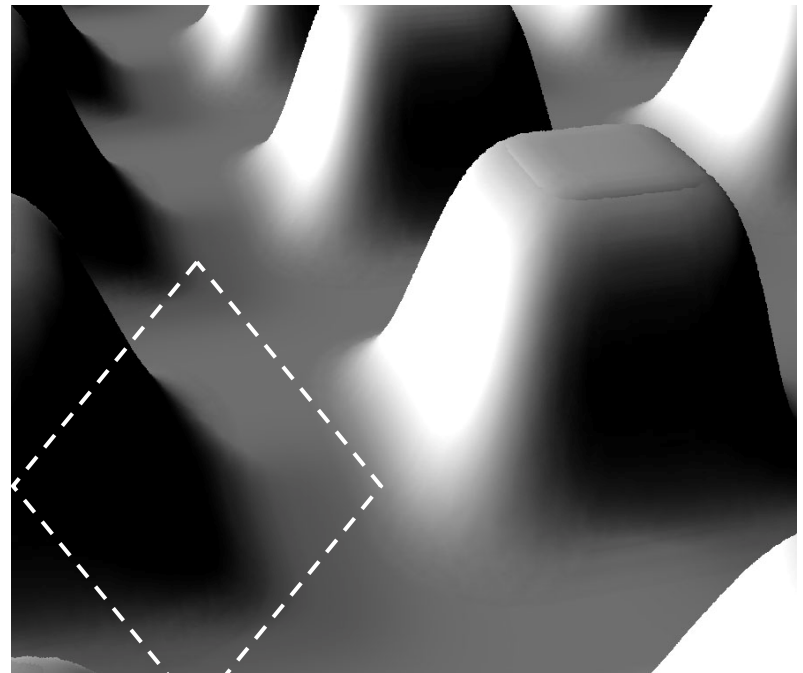
- ④ Simply determining whether the current feature is occluded yields hard shadows



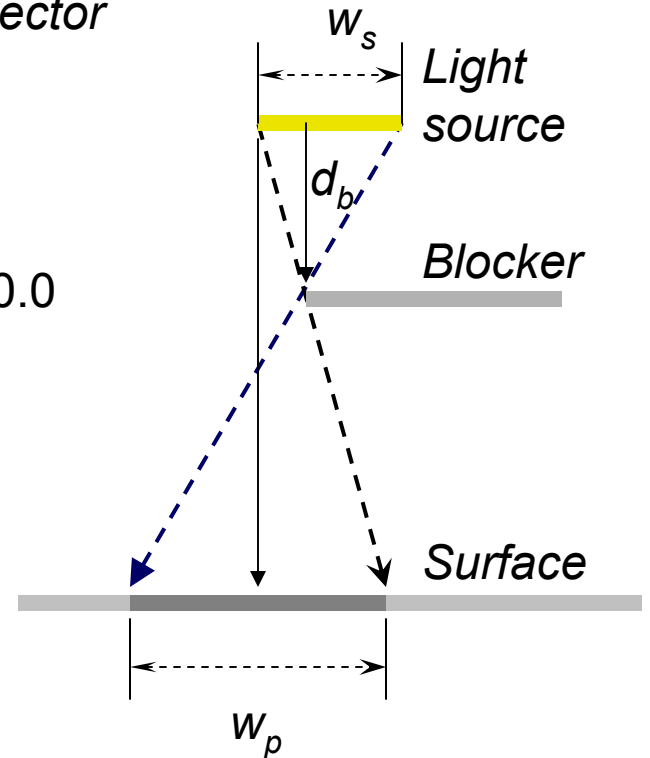
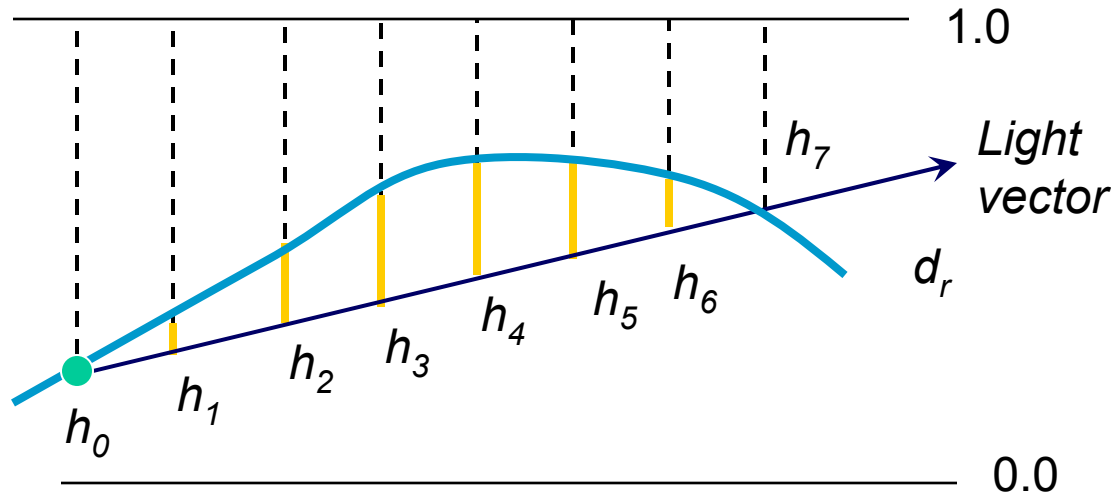
# Soft Shadows Computation

- ④ We can compute soft shadows by filtering the visibility samples during the occlusion computation
- ④ Don't compute shadows for objects not facing the light source:

$$N \bullet L > 0$$



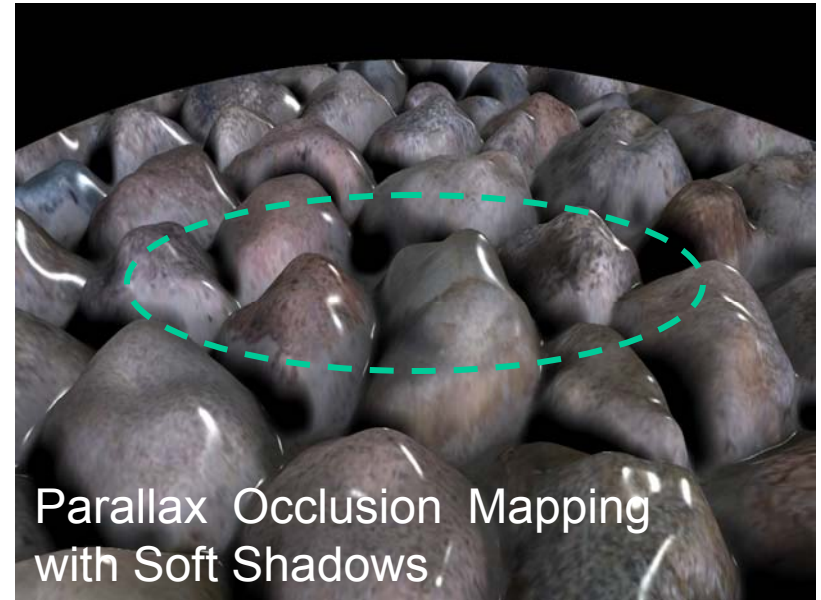
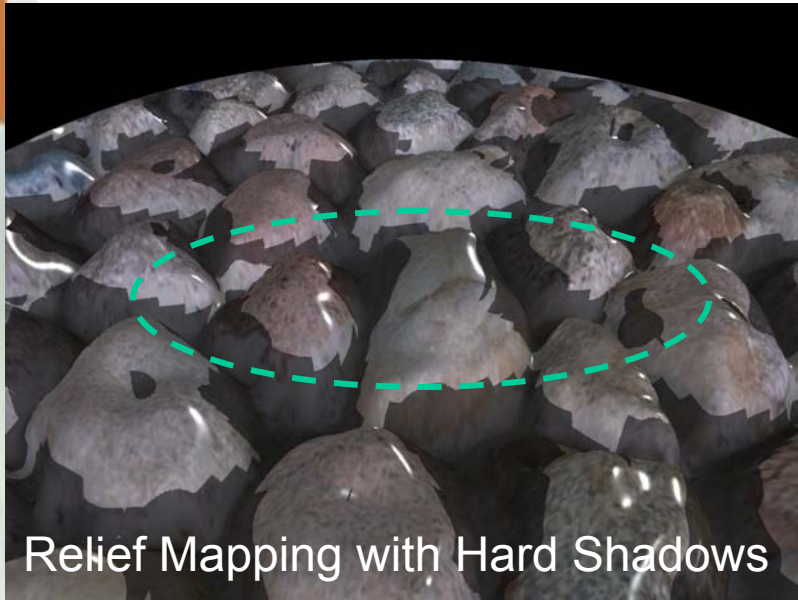
# Penumbra Size Approximation



The blocker heights  $h_i$  allow us to compute the *blocker-to-receiver* ratio

$$w_p = w_s (d_r - d_b) / d_b$$

# Shadows Comparison Example



# Illuminating the Surface

- ④ Use the computed texture coordinate offset to sample desired maps (albedo, normal, detail, etc.)
- ④ Given those parameters and the visibility information, we can apply any lighting model as desired
  - ④ Phong
  - ④ Compute reflection / refraction
  - ④ Very flexible



# Can Use A Variety of Illumination Effects

- ⊕ For many effects, simply diffuse lighting with base texture looks great
  - ⊕ Diffuse only suffices for many effects
- ⊕ Glossy specular easily computed – can use gloss maps to reduce specular in the valleys



# Adaptive Level-of-Detail System



- ④ Compute the current mip map level
- ④ For furthest LOD levels, render using normal mapping (threshold level)
- ④ As the surface approaches the viewer, increase the sampling rate as a function of the current mip map level



- ④ In transition region between the threshold LOD level, blend between the normal mapping and the full parallax occlusion mapping

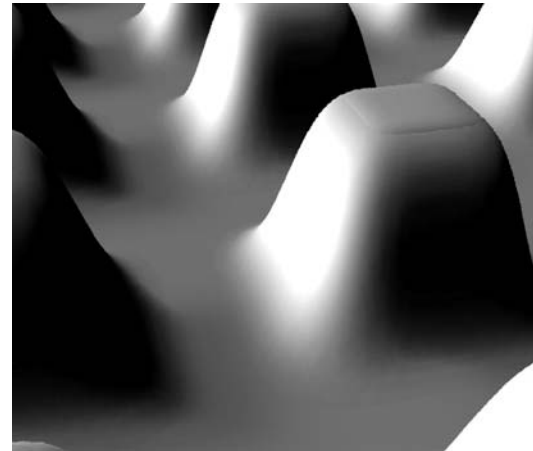


# Results

- ⊕ Implemented using DirectX 9.0c shaders (separate implementations in SM 2.0, 2.b and 3.0)



RGB $\alpha$  texture: 1024 x 1024,  
non-contiguous *uvs*



RGB $\alpha$  texture: tiled 128 x 128

# Parallax Occlusion Mapping vs. Actual Geometry



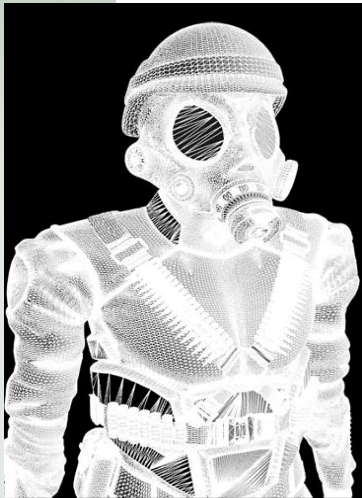
- 1100 polygons with parallax occlusion mapping (8 to 50 samples used)
- **Memory:** 79K vertex buffer  
6K index buffer  
13Mb texture (3Dc)  
(2048 x 2048 maps)

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Total: < 14 Mb

## Frame Rate:

- **255 fps** on ATI Radeon hardware
- **235 fps** with skinning



- 1,500,000 polygons with normal mapping
- **Memory:** 31Mb vertex buffer  
14Mb index buffer

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Total: 45 Mb

## Frame Rate:

- **32 fps** on ATI Radeon hardware

WHAT'S NEXT  
GDC:06

Demo



# The Plan

- ④ What are we trying to solve?
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- ④ **Discuss integration into games**
  - ④ Performance analysis and optimizations
  - ④ Considerations for authoring art assets
- ④ Conclusions

# How Does One Render Height Maps, Exactly?

- ③ Two possibilities
  - ③ Render surface details as if “pushed down” – the actual polygonal surface will be above the rendered surface
  - ③ In this case the top (polygon face) is at height = 1, and the deepest value is at 0
  - ③ Or - actually push surface details upward (ala displacement mapping)
- ③ This affects both the art pipeline and the actual algorithm
- ③ In the presented algorithm, we render the surface pushed down

# Performance vs Image Quality

- ⊗ Tradeoffs between speed and quality
  - ⊗ Less samples means more possibility for missed features and incorrect intersections
  - ⊗ This can result in stair stepping artifacts at oblique angles
- ⊗ Silhouettes are not computed correctly
  - ⊗ Art can be authored to hide this artifact
  - ⊗ Alternatives exist (at the expense of memory and extra computations)
    - ⊗ Use vertex curvature data and texkill in the pixel shader to clip pixels at the silhouettes
    - ⊗ Relief Mapping example shows a result
    - ⊗ Aliasing at the object silhouettes can be very strong

# Incorporate Dynamic Height Field Rendering with POM

- ⊕ Easily supports dynamically rendered height fields
  - ⊕ Generate height field
  - ⊕ Compute normals for this height field
  - ⊕ Apply inverse displacement mapping w/ POM algorithm to that height field
  - ⊕ Shade using computed normals
- ⊕ Examples of dynamic HF generation:
  - ⊕ Water waves / procedurally generated objects / noise
  - ⊕ Explosions in objects
  - ⊕ Bullet holes
- ⊕ Approaches that rely on precomputation do not support dynamic height field rendering in real-time
  - ⊕ Displacement mapping with distance maps
  - ⊕ Encoding additional vertex data such as curvature

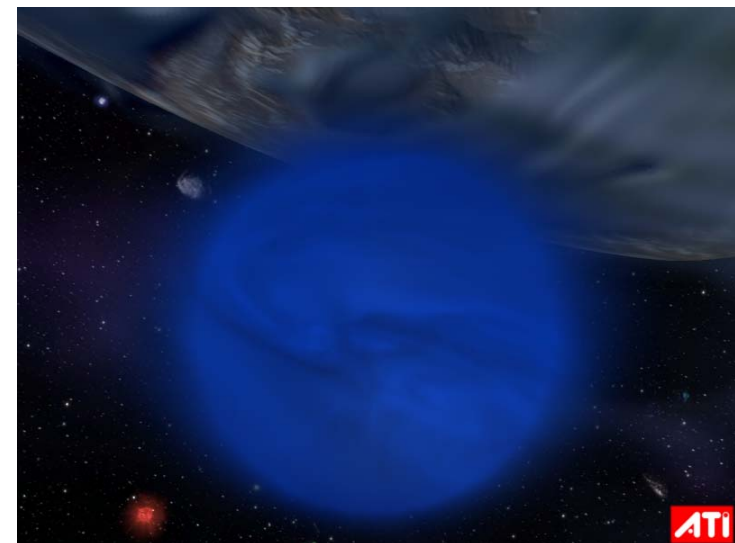
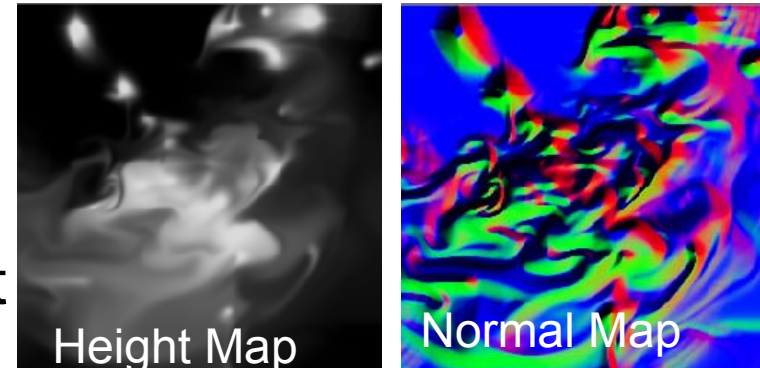
# Combine Fluid Dynamics with POM

- ④ Compute Navier-Stokes simulation for fluid dynamics for a height field
  - ④ Example: Fluid flow in mysterious galaxies from “[Screen Space](#)” ATI X1900 screen saver
- ④ Fluid dynamics algorithm can be executed entirely on the GPU
  - ④ See ATI technical report on “Explicit Early-Z Culling for Efficient Fluid Flow Simulation and Rendering” by P. Sander, N. Tatarchuk and J.L. Mitchell for details



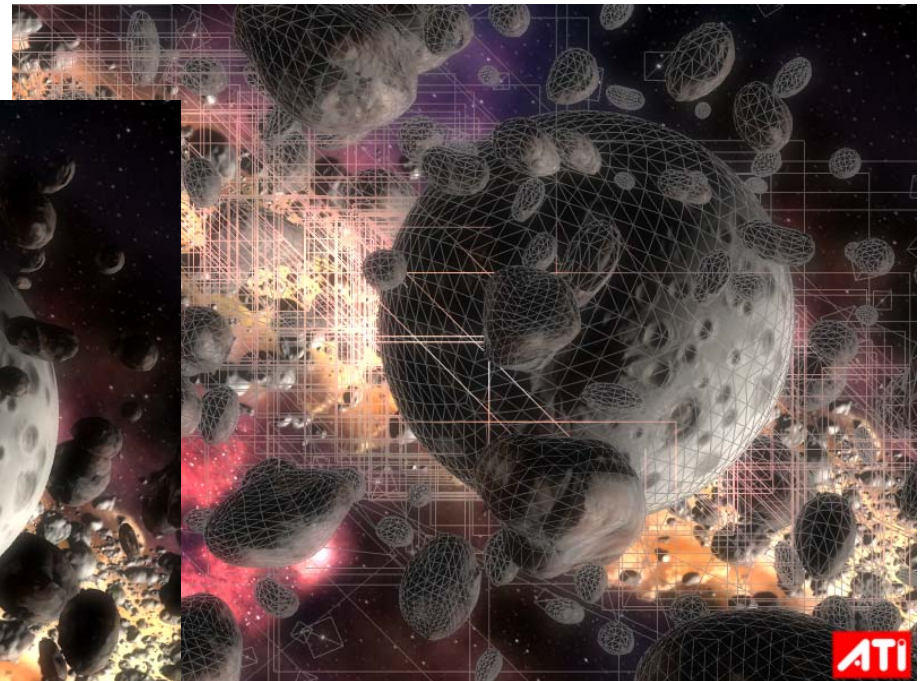
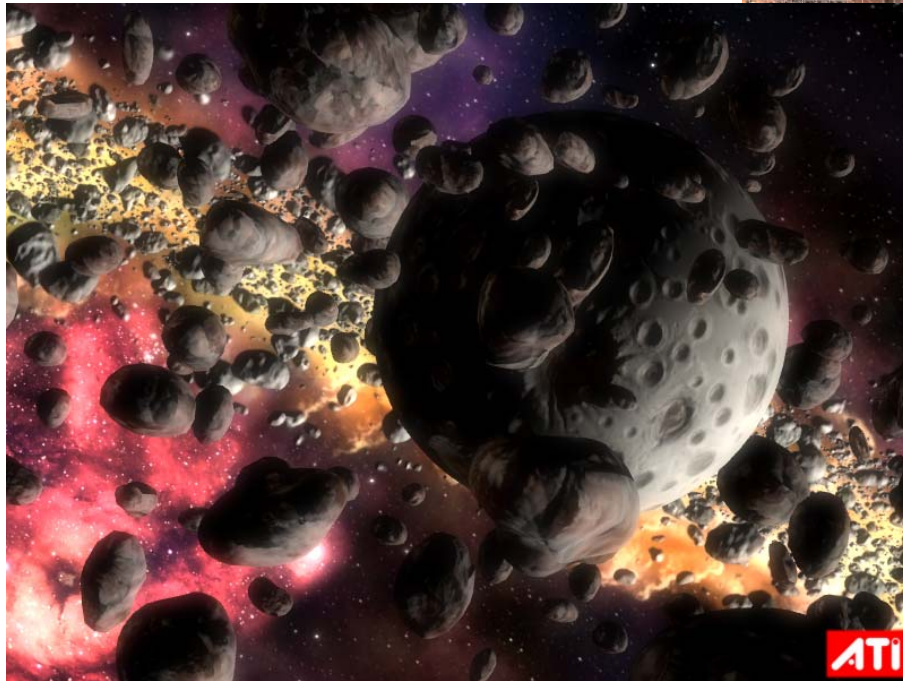
# Example: Gas Planet Scene

- ⊕ Random particles in texture space emit flow density and velocity
- ⊕ Flow used to compute height field for parallax occlusion mapping
- ⊕ Compute dynamic normals for the flow height field
- ⊕ Parallax occlusion mapping used to simulate cloud layer on large planet



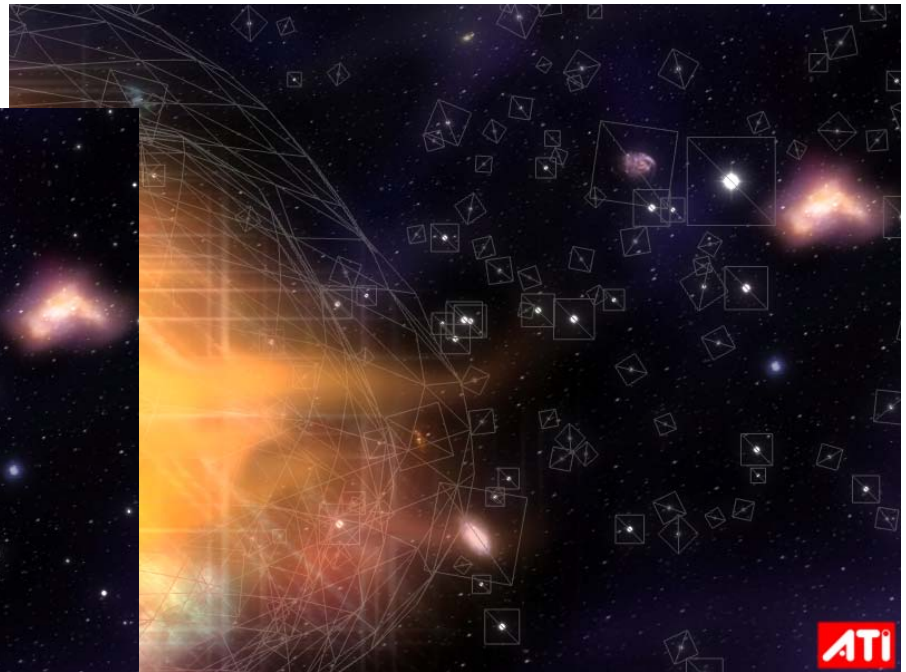
# Other Examples: Asteroids scene

- ⊕ Scene with several parallax mapped asteroids
- ⊕ Billboards used for faraway nebulae



# Nebula scene

- ⊕ Several layers of parallax mapped geometry
- ⊕ Flow density and velocity emitted in screen space at all layers



# Correct Depth Output

- ④ Simply using parallax occlusion mapping will yield incorrect object intersection
  - ④ Depth will be computed for the reference surface
  - ④ May display object gaps or cut-throughs
- ④ Solution: update each pixel's Z value when computing the displacement
  - ④ Compensate for simulated extruded surface
  - ④ Use the height field value and the reference plane Z value to compute correct depth
  - ④ [Policarpo05] shows an example
- ④ Performance will be affected
  - ④ Z is output from the pixel shader
  - ④ No longer able to use HiZ for optimization

# Parallax Occlusion Mapping with Curved Surfaces

- ⊕ Since the computation is in tangent space, the approach can be used with any surfaces
  - ⊕ Works equally well on curved objects
  - ⊕ Beware of silhouettes
- ⊕ If vertex curvature can be encoded vertex data
  - ⊕ Extend current algorithm to use that data to improve height-field intersection using the curvature
  - ⊕ This reduces aliasing and potential misses at steep grazing angles

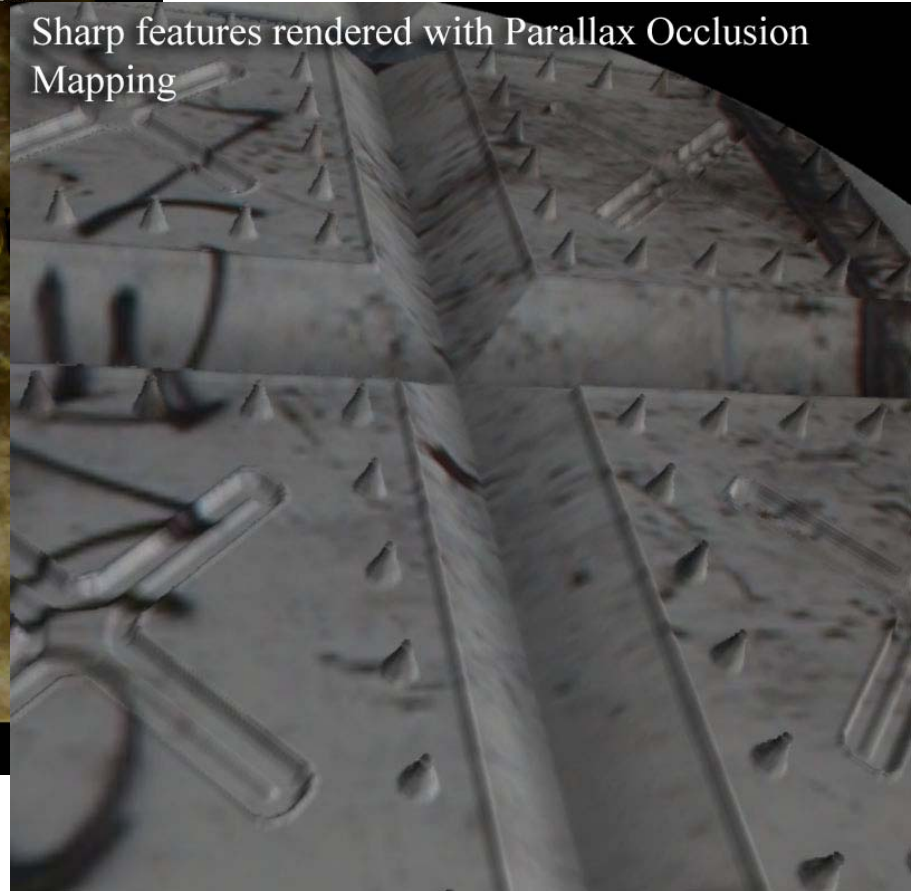


# Able to Handle Difficult Cases

Extruded text rendered with Parallax Occlusion Mapping with soft self-occlusion shadows

Parallax Occlusion Mapping  
for Depth and Detail

Sharp features rendered with Parallax Occlusion Mapping



# Shader Implementation Details

- ⊕ Really takes advantage of the great architecture of current and next-gen GPUs
  - ⊕ Balances texture fetches and control flow with ALU load
  - ⊕ Flow control:
    - ⊕ Uses dynamic flow control when supported
    - ⊕ Flow control cost is offset by the ALU / texture fetches
    - ⊕ ATI Shader Compiler makes aggressive optimizations
- ⊕ Easily supports a range of Dx9 hardware targets
  - ⊕ Multipass w/ ps\_2\_0
  - ⊕ Single pass in ps\_2\_b
  - ⊕ Single pass dynamic flow control in ps\_3\_0

# PS\_2\_0 Shader Details

- ④ Uses static flow control to compute intersections
  - ④ Compute parallax offset in first pass, output to render target
  - ④ In second pass computing lighting and shadow term
- ④ 8 samples in 64 instructions
  - ④ Performs quite fast
  - ④ Doesn't use dynamic number of iterations so the number of samples for height field tracing is constant
  - ④ This may cause some sampling aliasing at grazing angles if not enough samples are used
  - ④ Can use more than one pass to sample height map at higher frequencies
  - ④ 2-3 passes 8 samples each gives good results
    - ④ Makes oblique angles look better!



# PS\_2\_b Shader Details

- ④ Single pass to compute the parallaxed offset, lighting and self-shadowing
- ④ Uses a static number of iterations to compute height field intersections
  - ④ This may cause some sampling aliasing at grazing angles if not enough samples are used
- ④ Great performance
- ④ Use as many samples as needed for your art / scene
  - ④ Pay in form of instructions

# Shader Model 3.0 Gives Ideal Results

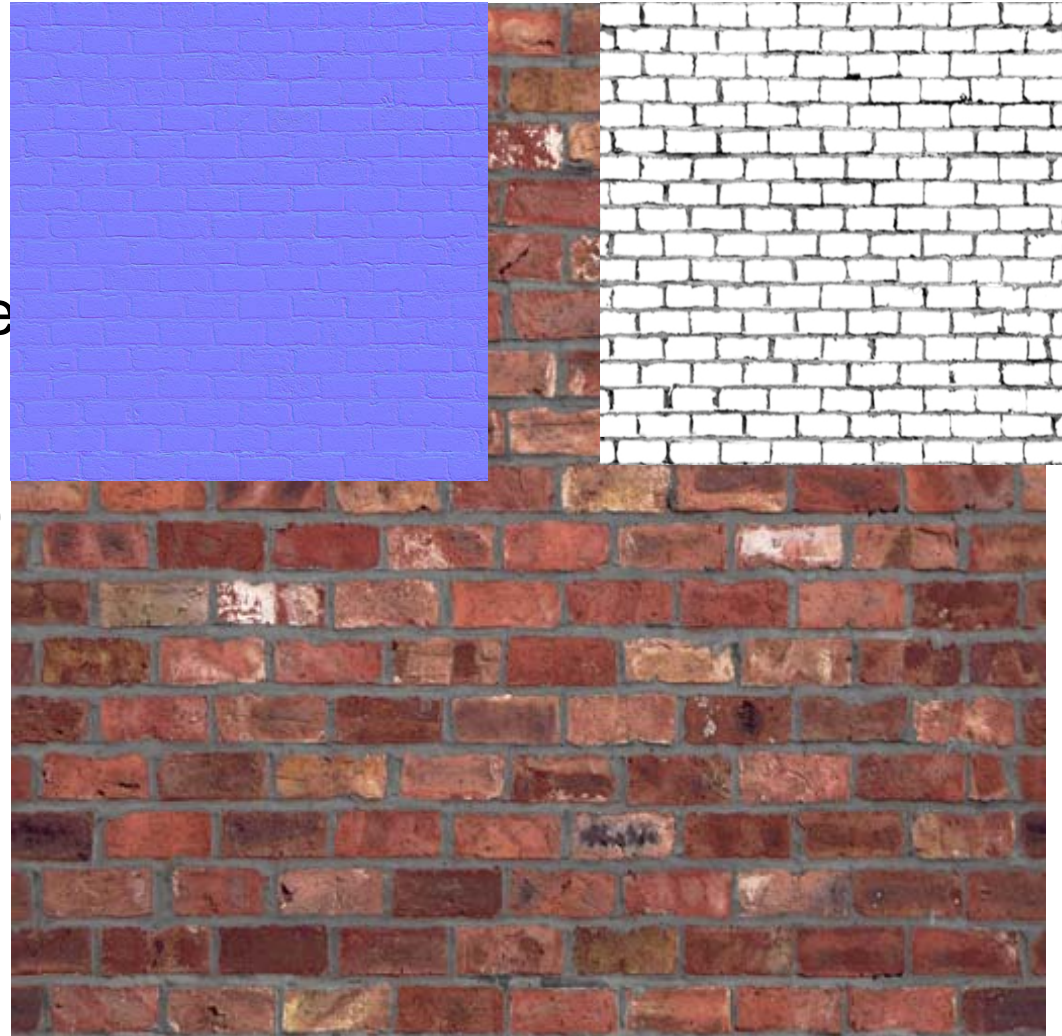
- ④ Uses dynamic flow control and early out during ray-tracing operations
  - ④ A close relationship with the assembly is key
  - ④ Always double-check to see if what you are expecting to get is what you are getting
  - ④ Beware of unrolled static loops
- ④ **Best quality results** and *optimizations*
- ④ Nicely balances ALU ops with control flow instructions and texture fetches
- ④ ATI Driver Shader Compiler optimizations in action:
  - ④ A *200 ALU ops* and *32 texture ops* of the disassembled HLSL shader becomes **96 ALU** and **20 texture fetches**
  - ④ That's 50% faster!

# Authoring Art for POM: Pointers

- ③ Easiest – less detailed height maps with wide features
  - ③ If rendering bricks or cobble stones, it helps to have wider grout (“valley”) regions
  - ③ Soft, blurry height maps perform better
- ③ This algorithm gives the artist control over the range for displacing pixels
  - ③ This represents the range of the height field
  - ③ Easily modifiable to get the right look
- ③ Remember – the algorithm is pushing down, not up
  - ③ Use this when placing geometry – may need to play the actual geometry higher than planning to render
  - ③ Height map: white is the top, black is the bottom

# POM Art Assets

- ④ Color Map
- ④ Normal map
  - ④ In tangent space
- ④ Height Map
  - ④ 8-bit (grayscale)
- ④ That's it!
- ④ Minimal increase in memory use



# Authoring Strategies

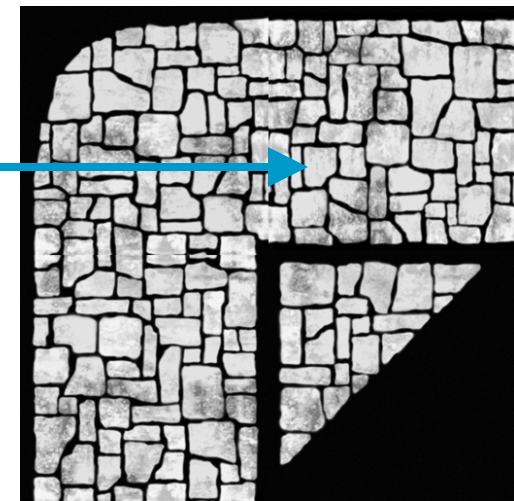
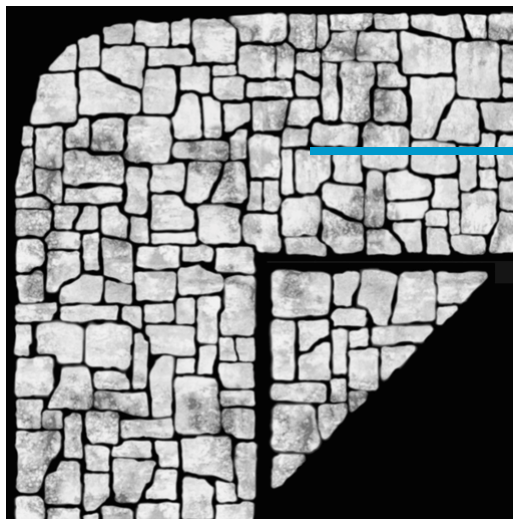
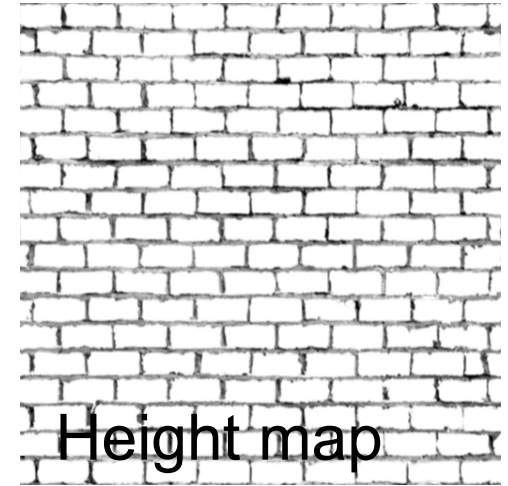
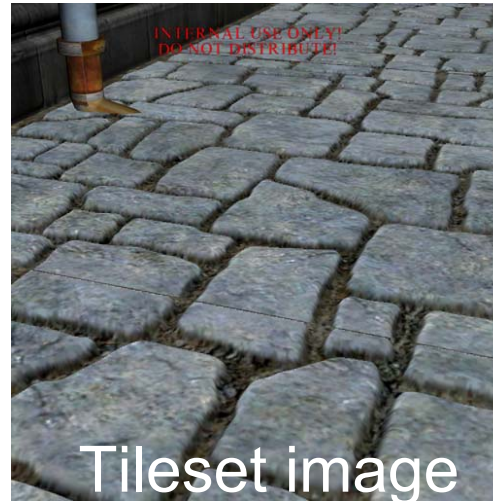
- ④ For planar surfaces
  - ④ High-poly source data compared to low poly approximation
  - ④ Converting 2d texture data to normal map works well for flat surfaces
- ④ For non-planar surfaces
  - ④ Generate normal and height maps from highly detailed geometry
- ④ Avoid drastic height changes
  - ④ Blurring height map can help

# Authoring Art Considerations for POM

- ⊕ Can alias at extreme viewing angles
- ⊕ Stretching of texture coordinates
  - ⊕ In some cases requires smooth height maps or high resolution maps
- ⊕ Intersecting geometry clips at original height, not at displaced height
  - ⊕ One can modify the shader to compute depth based on the extruded surface intersection
- ⊕ Tile sets require buffer region to eliminate seam artifacts

# POM and Tilesets

- ⊕ Need Buffer Regions
- ⊕ 10-20 pixel buffer region
- ⊕ Authoring POM tilesets can must be done with care



# The Plan

- ④ What are we trying to solve?
- ④ Quick review of existing approaches for surface detail rendering
- ④ Parallax occlusion mapping details
- ④ Discuss integration into games
- ④ **Conclusions**



# Conclusions

- ⊕ Powerful technique for rendering complex surface details in real time
  - ⊕ Higher precision height field – ray intersection computation
  - ⊕ Self-shadowing for self-occlusion in real-time
  - ⊕ LOD rendering technique for textured scenes
- ⊕ Produces excellent lighting results
- ⊕ Has modest texture memory footprint
  - ⊕ Comparable to normal mapping
- ⊕ Efficiently uses existing pixel pipelines for highly interactive rendering
- ⊕ Supports dynamic rendering of height fields and animated objects



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# The ToyShop Team

*Lead Artist*

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*Engine / Shader Programming*

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*Producer*

Lisa Close

*Manager*

Callan McNally



# Reference Material

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  - ④ Demos, GDC presentations, papers and technical reports, and related materials
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- ④ ATI ToyShop demo:  
<http://www.ati.com/developer/demos/rx1800.html>  
ATI ScreenSpace screen saver:  
<http://www.ati.com/designpartners/media/screensavers/RadeonX1k.html>

# Questions?

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